

Docket No. 251193US40DIV



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kyle W. HUKARI, et al.

SERIAL NO: 10/809,700

GAU:

FILED: March 26, 2004

EXAMINER:

FOR: PROTECTIVE LAYERS FOR OPTICAL COATINGS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Richard D. Kelly

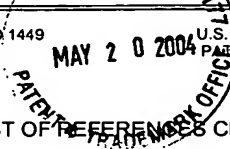
Registration No. 27,757

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Form PTO 1449 (Modified) <div style="text-align: center;">  </div>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 251193US40DIV		SERIAL NO. 10/809,700	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kyle W. HUKARI, et al.			
FILING DATE March 26, 2004				GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	6,261,693 B1	07/17/01	VEERASAMY			
	AB	6,338,901 B1	01/15/02	VEERASAMY			
	AC	6,284,377 B1	09/04/01	VEERASAMY			
	AD	6,335,086 B1	01/01/02	VEERASAMY			
	AE	6,312,808 B1	11/06/01	VEERASAMY ET AL			
	AF	6,280,834 B1	08/28/01	VEERASAMY ET AL			
	AG	6,277,480 B1	08/21/01	VEERASAMY ET AL			
	AH	6,447,891 B1	09/10/02	VEERASAMY ET AL			
	AI	6,461,731 B1	10/08/02	VEERASAMY ET AL			
	AJ	6,475,573 B1	11/05/02	VEERASAMY ET AL			
	AK	6,368,664 B1	04/09/02	VEERASAMY ET AL			
	AL	6,303,225 B1	10/16/01	VEERASAMY			
	AM	U.S. Patent Application Publication No. 2002/0127404 A1	09/12/02	VEERASAMY			
	AN	6,689,476 B2	02/10/04	VEERASAMY ET AL			
	AO	6,273,488 B1	08/14/01	PIKE ET AL			
	AP	6,632,491 B1	10/14/03	THOMSEN ET AL			
	AQ	U.S. Patent Application Publication No. 2004/0020761 A1	02/05/04	THOMSEN ET AL			
	AR	RE38,358 E	12/23/03	PETRMICHL			
	AS	6,610,360 B2	08/26/03	PETRMICHL ET AL			
	AT	6,692,832 B2	02/17/04	MURPHY			
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO			
	AU						
	AV						
	AW						
	AX						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AY						
	AZ						
	AAA					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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*ifw #*

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ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is a Second Preliminary Amendment (13 pp.) in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: Information Disclosure Statement Under 37 C.F.R. §1.97  
Form PTO-1449  
Non-U.S. Patent References Cited (2)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	39	MINUS	20	19	x \$18 =	\$342.00
INDEPENDENT	3	MINUS	3	0	x \$86 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$290 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$342.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$342.00

- ☐ A check in the amount of \$0.00 is attached.
- ☒ Credit card payment form is attached to cover the fees in the amount of \$342.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Corwin Paul Umbach*

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